APPENDIX 1 FORM R

Registering for Postgraduate Specialty Training

To be confirmed on appointment to/on entering specialty training and **before** a National Training Number (NTN) is issued, where this is appropriate. Must be updated and **submitted annually** to the Postgraduate Dental Dean in order to renew registration for specialty training

| Forename(s) | | GDC-regi | egistered surname | | | | | |
|--|--------------|---------------|-------------------|--|-----------------------------------|--|--|--|
| | | | | | | | | |
| Deanery | | | | | Please attach passport size pl | | | |
| Date of birth | Gender | | | Immigration status | | | | |
| Home address | | | | Home Tel | | | | |
| Tiome address | | | | Mobile Tel | | | | |
| | | | | | | | | |
| | | | | Email address (essential) | | | | |
| Dental School awarding primary | y qualificat | ion (name, co | untry and da | te) | | | | |
| GDC registration number | | | | GMC registration number (if applicable) | | | | |
| I confirm (✓) that I am undertaking post-CCST training | | | | I confirm (✓) that I have been appointed to a programme leading to award of a CCST, subject to satisfactory progress | | | | |
| Specialty for award for CCST | | | | Specialty 2 for award of CCST (if appointed to other certification programme) | | | | |
| Post type or appointment (run through, StR, post-CCST) | | | CST) | Date of entry to grade/programm | ne (dd/mm/yy) | | | |
| Fulltime or % of full time training | | | | Provisional date from deanery for award of CCST (dd/mm/yy) | | | | |
| National Training Number (NTN | l) (to be co | ompleted by | / deanery | on first registration) | | | | |
| I confirm that the inform | nation re | ecorded | in Forr | m R is correct. | | | | |
| Specialty Trainee | | | | Date | | | | |
| | | | | | | | | |
| Postgraduate Dental | Dean | | | Date | | | | |

Conditions of taking up a specialty training post or post-CCST training post (This is NOT an offer of employment)

On accepting an offer to take up a specialty training post / post-CCST post (delete as necessary) I agree to meet the following conditions throughout the duration of the programme.

- 1) To always have at the forefront of my clinical and professional practice the principles in the GDC's Standards for Dental Professionals for the benefit of patient care
- 2) To ensure that the care I give to patients is responsive to their needs, is equitable, respects human rights, challenges discrimination, promotes equality and maintains the dignity of patients and careers
- 3) To only be engaged with activities approved by the Postgraduate Dental Dean/Director (PGDD). If time out of the training programme is agreed, e.g. research or absence due to a career break, I will ensure that the Training Programme Director (TPD) and PGDD is informed of my planned return to the training programme
- 4) To accept the responsibility as an employee within a healthcare organisation to work effectively and cooperating fully with the aims and objectives of the programme. This includes participating in workplace based appraisal and assessments, educational appraisal, and accepting the need to share information about my performance with employers involved in my training. I agree to meet with the PGDD and /or his/ her agents as necessary and appropriate
- 5) To maintain regular contact with my educational supervisor (ES), TPD, PGDD by responding promptly to communications from them, and to inform my TPD and ES of any absence from the training programme
- 6) To ensure that I keep up to date my learning portfolio which underpins the training process and by which my progress is assessed and documented
- 7) To optimise the training resources available to develop my competence to the standards required
- 8) To support the development and evaluation of the training programme by participating actively in any national or local trainee survey and any other activities that contribute to the quality improvement of training
- 9) To understand that if I do not comply with the requirements and conditions above, that the PGDD will decide whether it is appropriate for me to retain my NTN
- 10) To maintain registration with the GDC

I acknowledge the importance of these responsibilities. If I fail to meet them I understand this may lead to withdrawal of my NTN by the PGDD. I understand that this document does not constitute an offer of employment.

| Trainee's signature | Trainee's name (printed) | Date |
|---------------------|--------------------------|------|

Out of Programme Request and Annual Review Document OOPT / OOPE / OOPC

For new requests, this document should be sent to the Postgraduate Dental Dean/Director (PGDD) only after it has been signed by the trainee's educational supervisor and Training Programme Director (TPD). The PGDD will use this if advice is needed from the individual Specialist Advisory Committee (SAC).

For annual review and renewal, this document should be signed by the trainee and TPD and will need to be submitted to the Annual Review of Competence Progression (ARCP) panel.

| | ı | | | | |
|---|---------------------------|---------------------|-----|--------------------|--------------------|
| Name | | | NTN | | |
| Contact Address for duration of OOP if granted | | | | | |
| E-mail address | | | | | |
| Specialty | | TPD | | | |
| Current year of training | | Provisional CCST da | te | | |
| Please indicate if you a | are requesting time out o | of programme for:- | N | lew request (√) | Ongoing OOP (√) |
| Prospectively deanery approved clinical training (OOPT) | | | | | |
| Clinical experience not | prospectively approved fo | r training (OOPE) | | | |
| Research for a registere | d degree (OOPR) | | | | |
| Career break (OOPC) | | | | | |
| Have you discussed your plans to take time out of programme / continue your time out with your education supervisor and / or Training Programme Yes Director? | | | | | No |
| How long would you intend to take time out /still remain on your OOP? | | | | | |
| What will be your provisional date for completing training if you take/continue with this time out of programme? | | | | | |
| If your request for time out of programme is agreed, you will be required to give your TPD and current/next employer 3 months' notice of leaving the programme. Please state the date you wish to start your out of programme experience (which must take account of the 3 month notice period) | | | | | |
| Date you plan to go out | of the clinical programme | | | | |
| Date you plan to return to the clinical programme | | | | | |

Attach a brief description of what will be done during time out of programme and where it will take place (not required for on-going OOP). You should also provide the additional following information:-

- OOPT attach details of your proposed training for which prospective deanery approval will be required if the training does not already have deanery approval (e.g. if it is part of a recognised training programme in a different deanery it will already be recognised training). For ongoing OOP this document should accompany the assessment documentation for ARCP
- OOPE describe the clinical experience you are planning to undertake (e.g. overseas posting with a voluntary organisation). For ongoing OOP, a short report from your supervisor confirming that you are still undertaking clinical experience should accompany this for ARCP
- OOPR attach your outline research proposal to this document and include the name/location of your research supervisor. For ongoing OOP, a report from the research supervisor needs to be attached to this document for ARCP

 OOPC – please give a brief outline of your reasons for requesting a career break whilst retaining your training number

I am requesting approval from the PGDD to undertake the time out of programme described above/continue on my current OOP whilst retaining my training number. I understand that:

- a) Three years out of my clinical training programme will normally be the maximum time allowed out of programme. Extensions to this will only be allowed in exceptional circumstances that will need further written approval from the PGDD.
- b) I will need to liaise closely with my TPD so that my re-entry into the clinical programme can be facilitated. I am aware that at least six months' notice must be given of the date that I intend to return to the clinical programme and that the placement will depend on availability at that time. I understand that I may have to wait for a placement.
- c) I will need to return an annual out of programme report for each year that I am out of programme for consideration by the annual review panel. This will need to be accompanied by an assessment report of my progress in my research or clinical placement. Failure to do this could result in the loss of my training number.
- d) I will need to give at least 3 months' notice to the PGDD and to my employer before my time out of programme can commence.

| Trainee signature | Trainee name (printed) | Date | |
|--|--|-----------------------|--|
| Educational supervisor signature | Educational supervisor name (printed) | Date | |
| TPD signature | TPD name (printed) | Date | |
| Approval by PGDD | | | |
| | should be sent to the PGDD only after it ha TPD. The PGDD will use this if advice is need | | |
| For annual review and renewal, the to be submitted to the ARCP panel | is document should be signed by the trainee a | and TPD and will need | |
| Signed | Date | | |

APPENDIX 4

Annual Review of Competence Progression (ARCP) Outcomes Form

| Trainee Forename: | | | Trainee Surname: | | | |
|--|------|--------|----------------------------|---------|-----------------|------|
| Specialty: | | | National Training N | lumber: | | |
| Gender: (please tick ✓) | Male | Female | Date of entry to gra | de | Immigration sta | ntus |
| | | | dd/IIII/yy | | | |
| GMC and/or GDC no. | GDC | GMC | Year of Training: (1-8) | | CCST Date: | |
| Educational Supervisor completing the report | | | | | | |
| Date of review: | | | Period covered | | From: | То: |
| Members of the panel: | 1. | | 2. | | 3. | |
| (Lay, TPD, External, Academic, etc) | 4. | | 5. | | 6. | |
| | | | | | | |

| Approved clinical training gair | ned th | rough the | period: | | | | | |
|---|--------|-------------------------|-----------------|-------------|-------------------------------------|---------------------|--------------------|--|
| Grade of training programme re- | viewe | d:- ACF/ | ACL / StR / LAT | / Post-CCST | | | | |
| or Other (please state) | | | | | | | | |
| Placement / Post / Experience | | | Date from: | Date to: | In | / Out of Programme? | FT / PT (as % FT)? | |
| 1. | | | | | | | | |
| 2. | | | | | | | | |
| 3. | | | | | | | | |
| Documentation taken into account and shown to trainee (Insert ✓ as appropriate) | | | | | | | | |
| 1. Structured report | | 2. Clinical | Logbook | | | 3. PDP with CPD Log | | |
| 4. WBA Forms | | 5. Feedback Survey Form | | | 6. Report on academic pappropriate) | orogress (where | | |

| | | RECO | MMENDED OUTCOM | ES FROM | I THE PANEL | |
|--------|---|--------------|-----------------------------|-------------|-------------------------------------|---|
| Satisf | actory Progress | | | | | ✓ |
| 1 | Achieving progress and co | mpetencies | at the expected rate | | | |
| Unsat | isfactory Progress or Ins | sufficient E | vidence (also complete | Suppleme | entary Evidence section) | |
| 2 | Development of specific co | ompetences | required – additional train | ing time no | ot required | |
| 3 | Inadequate progress by the trainee – additional training time required | | | | | |
| 4W | 4W Released from training / academic programme with or without specified competences (NTN withdrawn) | | | | | |
| 4VR | 4VR Released from training / academic programme with or without specified competences (Voluntary resignation) | | | | | |
| 5 | 5 Incomplete evidence presented – additional training time may be required | | | | | |
| Recor | nmendation for completi | on of train | ing | | | |
| 6 | 6 Gained all required competences and outcomes | | | | | |
| | OUTCOMES FO | OR TRAINE | ES OUT OF PROGRAM | ME (evic | dence of progress must be provided, |) |
| 8. | OOPE (Experience) | | OOPR (Research) | | OOPC (Career Break) | |

| Signed by: | (Panel Chair) | Date: |
|------------|---------------|-------|
| Signed by | (Trainee) | Date |
| Signed by: | (PGDD) | Date: |

| | SUPPLEMENTARY EVIDENCE for trainees with UNSATISFACTORY OUTCOME | | | | | |
|--------|---|------------|----------------|------------|---------------|----------------------|
| | | | e must be in a | | • | 1 |
| Reco | mmended Outcome: | Date from: | Date to: | In / Out | of Programme? | FT / PT as %? |
| | | | | | | |
| Detai | iled reasons for recommended o | outcome: | | | | |
| 1. | | | | | | |
| 2. | | | | | | |
| 3. | | | | | | |
| Disc | ussion with trainee | | | | | |
| Mitiga | ating circumstances | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Comp | petences which need to be developed | b | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Reco | mmended actions | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Reco | mmended additional training time (if | required) | | | | |
| | | | | | | |
| | | | | | | |
| Signe | d by: | | (Pa | nel Chair) | Date; | Date of next review: |
| Signe | ed by: | | (Tra | inee) | Date; | |
| Signe | ed by: | | (PG | DD) | Date; | |

These documents should be forwarded to:

- the Training Programme Director (who must ensure that the trainee receives a copy through the further appraisal and planning process).
- the SAC if the trainee is on a CCST programme.

By signing the form, the trainee is indicating that they understand and agree that the information will be shared with other parties involved in their training as outlined above.

The trainee signature on the form indicates that they understand the recommendations arising from the review. It does not imply they accept or agree with them and they can have the recommendation reviewed as well as the right of appeal as delineated in the Dental Gold Guide.

| | Sup | plementary information for trainees who | had an UNSATISFACTORY review outcome |
|------|----------|---|--|
| Code | Insert 🗸 | Reason for unsatisfactory outcomes | Explanatory notes |
| U1 | | Record Keeping and Evidence | Trainee failed to satisfactorily maintain their Royal College/Faculty E-Portfolio including completing the recommended number of Work Placed Based Reviews; Audits; Research; structured Education Supervisors report; in accordance with recommendations for that particular Year of Training in line with the Royal College/Faculty curriculum requirements. |
| U2 | | Inadequate Experience | Training post(s) did not provide the appropriate experience for the year of training being assessed in order to progress. As a result the trainee was unable to satisfy the Royal College/Faculty curriculum requirements for the year of training. |
| U3 | | No Engagement with Supervisor | Trainee failed to engage with the assigned Educational supervisor or the training curriculum in accordance with the Royal College/Faculty requirements for that particular year. |
| U4 | | Trainer Absence | Nominated educational supervisor or trainer did not provide the appropriate training and support to the Trainee because of their absence on a sabbatical; through illness or other reasons; and no nominated educational supervisor deputy took over to ensure that an appropriate level of training was maintained. As a result the trainee was unable to satisfy the Royal College/Faculty curriculum requirements for the year of training. |
| U5 | | Single Exam Failure | Trainee failed to satisfy the respective Royal College/Faculty examination requirements to progress to the next year of training. |
| U6 | | Continual Exam Failure | Trainee failed to pass the respective Royal College/Faculty examination within the allowable number of examination attempts following a number of re-sits and is therefore unable to progress any further in this Specialty. |
| U7 | | Trainee requires Deanery Support | Trainee has issues to do with their Professional personal skills for example: - behaviour / conduct / attitude / confidence / time keeping / communications skills etc and requires the support of the Deanery Performance Team. |
| U8 | | Other reason | |

| | | Additional information for trained | es who DID NOT HAVE A REVIEW |
|------|----------|--|---|
| Code | Insert 🗸 | Reason for unsatisfactory outcomes | Explanatory notes |
| N1 | | Trainee Sick Leave | Trainee on long term sickness or other health issues have impacted on ability to complete the year of training being reviewed. |
| N2 | | Trainee Maternity/Paternity Leave | Trainee cannot be reviewed whilst on maternity leave |
| N3 | | Trainee not In Post Long Enough | Too soon to complete a meaningful Annual Review within the ARCP reporting period. |
| N4 | | Trainee fell outside annual reporting period | Annual GDC reporting period is 01 Aug 20xx to 31 July 20xx but trainee was not reviewed during these 12 months. |
| N5 | | Trainee Post CCST | Trainee already completed CCST and now in period of grace. |
| N6 | | Trainee Missed Review | Trainee did not attend the Review. Where a review panel was not arranged until July at end of reporting year and trainee could not attend; for last minute family reasons, transport problems etc. Panel had to be rearranged in early August but outside of normal reporting period. |
| N7 | | Trainee Inter Deanery Transfer | Trainee left the programme early to take up a post in another Deanery |
| N8 | | Trainee reviewed in other Deanery | Trainee working in another Deanery who completed ARCP. |
| N9 | | Trainee Contract Termination | Trainee left and had their NTN/DRN removed due to lack of progression without achieving competencies to a satisfactory level. |
| N10 | | Trainee Gross Misconduct | Trainee currently suspended from training either as a result of GDC Suspension or local Trust or other local disciplinary proceedings. |
| N11 | | Trainee Suspension | Trainee suspended for reasons other than gross misconduct. |
| N12 | | Other reason | |

| Committee Secretary |
|--|
| SAC in <i>insert specialty</i> |
| The Royal College of Surgeons of England |
| 35-43 Lincoln's Inn Fields |
| London |
| WC2A 3PN |

Date:

Dear [insert name],

ARCPs in [insert specialty]

Following the review process carried out on *insert date*, please find below the ARCP outcomes, OOP details and changes to CCST dates for the following trainees (all of which are recorded on ISCP):

| Name | Training number | ARCP Outcome | Reason for unsatisfactory outcome | OOP/break in training | CCST date change |
|------|-----------------|--------------|-----------------------------------|-----------------------|------------------|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Please also find attached a copy of the report from [insert name], External to the Committee.

Yours sincerely

<u>DENTAL SPECIALTY ARCPs</u> <u>SAC External Feedback Form: Process (Form A)</u>

The purpose of this form is for you, the SAC external member of the ARCP panel, to give feedback on how the ARCP **Process** is conducted. Your comments are welcome.

ARCP (please state specialty): Date of ARCP panel meeting:

| | | Evidence | Comments |
|--|------------|----------|----------|
| | Please tic | k | |
| The appropriate paperwork was available prior to ARCP | Yes | | |
| AROI | No | | |
| The trainee/s had seen and signed the appropriate | Yes | | |
| documents prior to the ARCP panel meeting | No | | |
| The composition of the ARCP panel was appropriate and aligned to The Dental Gold Guide | Yes | | |
| | No | | |
| There is a named educational supervisor for each | Yes | | |
| trainee | No | | |
| ARCPs take place at appropriate times in training | Yes | | |
| | No | | |
| Do you feel that the ARCP process you have taken | Yes | | |
| part in is fit for purpose? | No | | |
| Was full consideration given to all the evidence | Yes | | |
| submitted (including trainee comments)? | No | | |

SAC External Feedback Form: Quality (Form B)

The purpose of this form is for you, the SAC external member of the ARCP panel, to give feedback on the **Quality** of the training programmes provided. Your comments are welcome.

| | | Evidence | Comments |
|--|-------------|----------|----------|
| | | | |
| | Please tick | (| |
| There is a regularly reviewed, structured and | Yes | | |
| personal training plan for each trainee | No | | |
| There is a regular structured appraisal for all | Yes | | |
| trainees | No | | |
| Trainees maintain a continuous career portfolio | Yes | | |
| of progress and achievement | No | | |
| Clinical specialist training Are the caseload and case mix in accordance | Excellent | | |
| with the Curriculum requirements? | Adequate | | |
| | Poor | | |
| Non-clinical sessions Are non-clinical sessions appropriate in terms of | Excellent | | |
| number and variety? | Adequate | | |
| | Poor | | |
| Is the Curriculum fulfilled? | Yes | | |
| | No | | |

| | | Evidence | Comments | | | | |
|---|--------------------------|----------|----------|--|--|--|--|
| | Please | e tick | | | | | |
| Please rate the quality of the training | 1 (Poor) | | | | | | |
| programme in this specialty | 2 (Development required) | | | | | | |
| | 3 (Satisfactory) | | | | | | |
| | 4 (Above average) | | | | | | |
| | 5 (Excellent) | | | | | | |
| Are there any trainees/Programmes that you | Yes | | | | | | |
| have concerns about? - If yes please comment | No | | | | | | |
| | | | | | | | |
| Name: | Signed | d: | | | | | |
| Return to: Thank you for completing this form. | | | | | | | |
| | | | | | | | |

GENERAL DENTAL COUNCIL

Registration Department 43-45 Portman Square, London, W1H 6HN Tel: 020 7167 6000 Email: assessments@gdc-uk.org

Registration Department Office Hours: Monday to Friday, 9.00am to 5.00pm

RECOMMENDATION FOR THE AWARD OF A CERTIFICATE OF COMPLETION OF SPECIALIST TRAINING

GENERAL INSTRUCTIONS

This form must be completed and signed by the Postgraduate Dental Dean/Director and returned to the General Dental Council.

Please ensure that all sections of the form are completed in type or black ink in BLOCK CAPITALS.

Send to:

General Dental Council Registration Department 43-45 Portman Square London, W1H 6HN

| FOR GDC USE ONLY |
|--------------------------|
| GDC Registration Number: |
| Surname: |
| Forenames: |
| |

RECOMMENDATION FOR THE AWARD OF A CERTIFICATE OF COMPLETION OF SPECIALIST TRAINING

| | TO BE COMPLETED BY THE POS | TGRADUATE DENTAL DEAN/DIRECTOR |
|-----------|--|---|
| (a) | | Mr/Mrs/Miss/Ms |
| (b) | GDC Registration No | (c) National (or Visiting) Training No |
| (c) | Period spent in Specialist Training Programme (sta | te number of years and months) |
| | Date Training started Date | training ended |
| | Date exit examination passed | |
| (d) | - | uring the Postgraduate Training included under (d) above (state |
| (e) | | |
| DECLA | RATION | |
| I confirm | n that | has satisfactorily completed the above specialist training |
| program | nme on (specify date) | and I recommend him/her for the award of the Certificate of |
| Complet | tion of Specialist Training in the specialty stated. | |
| | To be signed by the Postgraduate Dental Dean/Dir | ector, or his/her designated nominee. |
| | Name (surname underlined) | |
| | Region | |
| | Signed | _ Date |

When this form has been completed and signed, please send it to:

General Dental Council Registration Department 43-45 Portman Square, London, W1H 6HN

Application for an Inter-Deanery Transfer

Trainee to complete and return to current Postgraduate Dental Dean/Director (PGDD)

| Name | | | | | | | NTN | |
|---|---|------|--------|--------------|--------------|--------------|------------------|----------------------|
| Contact Address | | | | | | | | |
| | | | | | | | | |
| E-mail address | | | | | | Contact Te | el | |
| Specialty | | | Г | | Γ | Date of app | pointment | |
| Year of training (ci | rcle) 1 | 2 | 3 4 | 5 | Other | Provisiona | I CCST | |
| Date of most recen | t annual | ass | essme | ent | | (all outcome | forms to date | must be attached) |
| Reasons of applica | ntion | | | | | | | |
| Give a <i>brief</i> outline | | | | | | | | |
| (A more detailed ex | olanation | (one | side . | 44) n | iust also be | attached to | this application | on) |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| I formally ap information gi | | | | | | | and | confirm that all the |
| I should not approach the PGDD in the region to which I am seeking transfer directly, but that my current PGDD will do this on my behalf if s/he confirms that I have sufficient well- founded reasons for the transfer | | | | | | | | |
| I may be required to be interviewed by the PGDD in the region to which I wish to transfer | | | | | | | | |
| In support of my application I attach:- | | | | | | | | |
| ■ copies of all annual review outcomes to date | | | | | | | | |
| a deta | a detailed explanation of the reason for my request | | | | | | | |
| Cinnature of | | | | | | | | |
| Signature of trainee | | | | | | | Date | e |
| I approve the trainee's application to transfer and confirm that the NTN and training details given above are correct. | | | | | | | | |
| Signature of | | | | | | | Det | • |
| PGDD | | | | | | | Date | ਰ |

GOLD GUIDE PRIVACY NOTICE

APPENDIX 9

This privacy notice is intended to provide transparency regarding what personal data HEE, NES, NIMDTA and Wales Deanery will collect about you, how it will be processed and stored, how long it will be retained for and who will have access to your data.

Trainees should be aware that this privacy notice applies to all the processing of your personal data during the course of your training by HEE, NES, NIMDTA and Wales Deanery. Your personal data is typically collected, but not exclusively, via the recruitment process, your Annual Review of Competence Progression (ARCP) and when submitting a Form R.

Those responsible for training should ensure that trainees are aware of this information. It is recommended that this privacy notice be attached to the NTN letter sent to trainees at the start of their training. This privacy notice should also be available on each of HEE, NES, NIMDTA or Wales Deanery's website.

TERMS USED IN THIS NOTICE

There are some terms that appear in the Data Protection Act 1998 that are used in this notice. These are explained below:

Personal Data: Information from which, the data subject, can be identified either directly or indirectly when the information is read in conjunction with other data that the data controller holds.

Processing: In relation to personal data, processing means obtaining, recording, sharing or holding the data or carrying out any other operation or action.

Data Subject: the individual whom the personal data is about.

Data Controller: HEE, NES, NIMDTA or the Wales Deanery is the Data Controller meaning that they determine the purposes for which and the manner in which any personal data is to be processed.

Data Processor: A data processor processes personal data on behalf of the data controller under a contract and follows strict instructions from the data controller.

Third parties: Any person/organisation that is not the data subject, data controller or data processor

Data Recipients: any person to whom the data is disclosed that processes that data on behalf of the data controller e.g. HEE, NES, NIMDTA or Wales Deanery staff and lay representatives.

Sensitive Personal Data: personal information about the data subject's racial or ethnic origin, political opinions, religious beliefs, physical or mental health condition, sexuality and criminal record or activity.

OVERVIEW

In order to manage and quality assure your training, HEE, NES, NIMDTA or Wales Deanery need to process information about you. HEE, NES, NIMDTA or Wales Deanery do so in compliance with the Data Protection Act 1998, and in accordance with the data protection principles set out in Schedule 1 of the Act. These principles require that personal data must:

- · Be fairly and lawfully processed
- Be processed for a specific purpose
- When collected, be adequate, relevant and not excessive
- Be accurate and up to date
- Not be kept for longer than necessary
- Be processed in accordance with individual's rights
- Be kept secure and safeguarded from unauthorised access or accidental loss
- Only be transferred outside the European Economic Area when an adequate level of protection exists in the recipient country

PROCESSING YOUR PERSONAL DATA

HEE, NES, NIMDTA and the Wales Deanery will process your personal data for the following purposes and will usually entail the processing of your personal data on our management information systems:

1. **To manage your training and programme** – personal data collected for this purpose will be kept in your training file, which will usually contain your recruitment data (application form, recruitment documentation and any immigration records).

ARCP records, significant correspondence and any other information pertinent to the effective management of your training and education.

- 2. **To quality assure training programmes and ensure that standards are maintained** via local and national quality assurance teams and methods such as the GMC national training survey.
- 3. **To identify workforce planning targets** your data will be used to determine outputs from programmes and to inform the number of trainees required for specialties in the future.
- 4. **Maintaining patient safety through the management of performance concerns** your personal data may be shared with the GMC should there be significant concerns regarding your fitness to practise.
- 5. Compliance with legal and regulatory responsibilities including revalidation.
- 6. To contact you about training opportunities, events, surveys and information that may be of interest to you.

Access to your personal data is restricted to the authorised team within HEE, NES, NIMDTA or Wales Deanery you are employed to manage your training. Access is also granted on a limited basis to recipients such as programme directors and lay representatives but only where necessary for a specified and legitimate purpose.

Your personal data will be retained for six years after you have left your training programme. At which point your personal data will be confidentially and securely destroyed.

PROCESSING YOUR SENSITIVE PERSONAL DATA

Your sensitive personal data will only be processed if HEE, NES, NIMDTA or Wales Deanery have received explicit consent from you or if alternative conditions of schedule 2 and schedule 3 of the Data Protection Act are satisfied or if an exemption specified in the Data Protection Act applies.

Sensitive personal data that we may need to share includes information relating to your health or criminal record should your employer or the GDC need to be made aware.

SHARING PERSONAL DATA

HEE, NES, NIMDTA or the Wales Deanery will only transfer your personal data to third parties using secure channels and where it is needed to manage your training, for instance when rotating through placements or notifying colleges of ARCP outcomes.

HEE, NES, NIMDTA or Wales Deanery will not transfer your data unless it is satisfied of the following matters.

- 1. That a condition of schedule 2 (and a condition from schedule 3 in relation to sensitive personal data) of the Data Protection Act is met. The most common conditions we will rely on from Schedule 2 are consent from you or that we, or the recipient organisation, have a legitimate interest in the disclosure.
- 2. The data will be handled by the third party in accordance with the Data Protection Act 1998.

Where the data is used for analysis and publication by a recipient or third party, any publication will be on an anonymous and aggregated basis and will not make it possible to identify any individual. This will mean that the data ceases to become personal data.

Third parties may include the following non-exhaustive list: the UK Health Departments, Medical Royal Colleges, other deaneries, the General Dental Council, NHS Trusts/Health Boards and approved academic researchers.

YOUR RESPONSIBILITIES AND RIGHTS

It is important that you work with us to ensure that the information we hold about you is accurate and up to date, so please inform HEE, NES, NIMDTA or Wales Deanery immediately if any of your personal data needs updating or correcting.

All communications from HEE, NES, NIMDTA or Wales Deanery will normally be by e-mail. It is therefore essential for you to maintain an effective and secure e-mail address, or you may not receive information about you posts and your assessments or other important news and information about your training.

If at any point you wish to gain a copy of your personal data that is held by HEE, NES, NIMDTA or Wales Deanery, you may submit a subject access request in writing. Please note that a fee may apply. Depending on your location of training please contact one of the organisations below:

- Health Education England
- NHS Education for Scotland
- Postgraduate Deanery in Wales
- Northern Ireland Medical and Dental Training Agency

You have, in certain limited circumstances, a right to object to processing that is likely to cause you damage or distress or any decisions made by automated means that significantly affect you.

You also have a right to have inaccurate personal data rectified, blocked, erased or destroyed

If you wish to exercise any of these rights or have any concerns in relation to how your personal data is processed, please contact HEE, NES, NIMDTA or Wales Deanery using the details above.

Should you wish to learn further information about data protection please visit the Information Commissioner's Office (ICO) website. The ICO deals with complaints about information matters and provides useful guidance.

GLOSSARY OF TERMS

ACF Academic Clinical Fellow

ACL Academic Clinical Lecturer

ARCP Annual Review of Competence Progression

BDA British Dental Association

CCST Certificate of Completion of Specialist Training

COPDEND Committee of Postgraduate Dental Deans and Directors

CT Computed Tomography

DPMD Defence Postgraduate Medical Deanery

ES Educational Supervisor

FT Full time

GDC General Dental Council

GMC General Medical Council

HEE Health Education England

ICO Information Commissioner's Office

IDT Inter-Deanery Transfer

ISCP Intercollegiate Surgical Curriculum Project

JCPTD Joint Committee for Postgraduate Training in Dentistry

LAT Locum Appointment for Training

LAS Locum Appointment for Service

LTFT Less than Full Time

MRDS Medical and Dental Recruitment and Selection

NACPDE National Advice Centre for Postgraduate Dental Education

NES NHS Education Scotland

NIHR National Institute of Health Research

NIMDTA Northern Ireland Medical and Dental Training Agency

NTN National Training Number

OOP Out of Programme

OOPC Out of Programme: Career Break

OOPE Out of Programme: non-training Experience

OOPR Out of Programme: Research

OOPT Out of Programme: Training

OSCE Objective Structured Clinical Examination

PoG Period of Grace

PGDD Postgraduate Dental Dean/Director

PT Part time

QA Quality Assurance

RCS Royal College of Surgeons

SAC Specialist Advisory Committee

SHOW Scotland's Health on the Web

SLA Service Level Agreement

StR Specialty Registrar

SPA Supporting Professional Activity

STC Specialty Training Committee

TPD Training Programme Director

VR Voluntary Resignation

WBA Workplace-based Assessment